(19) World Intellectual Property Organization International Bureau



(43) International Publication Date 13 December 2001 (13.12.2001)

PCT

(10) International Publication Number WO 01/95523 A2

(51) International Patent Classification7: H04B 7/185

(21) International Application Number: PCT/US01/17892

(22) International Filing Date: 1 June 2001 (01.06.2001)

(25) Filing Language:

English

(26) Publication Language:

English

(30) Priority Data:

09/588,395

_6 June 2000 (06.06.2000) US

(71) Applicant: HUGHES ELECTRONICS CORPORA-TION [US/US]; 200 North Sepulveda Boulevard, El Segundo, CA 90245 (US).

(72) Inventors: CHANG, Donald, C., D.; 2350 Moberly Court, Thousand Oaks, CA 91360 (US). CHANG, Ming, U.; 28815 Indian Valley Road, Rancho Palos Verdes, CA 90275 (US). FERIA, Ying; 306 Anderson Street, Manhattan Beach, CA 90266 (US). WANG, Weizheng; 27113 Indian Peak Road, Rancho Palos Verdes, CA 90275 (US). CHA, Alan; 2214 Lenore Drive, Glendale, CA 91206 (US). YUNG, Kar; 4738 Narrot Street, Torrance, CA 90503 (US). HAGEN, Frank, A.; 2309 Via Rivera, Palos Verdes Estates, CA 90274 (US).

(74) Agents: DURAISWAMY, Vijayalakshmi, D. et al.; Hughes Electronics Corporation, Bldg. 001, MS A109, P.O. Box 956, El Segundo, CA 90245 (US).

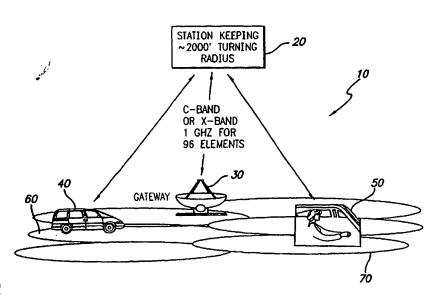
(84) Designated States (regional): European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE, TR).

Published:

 without international search report and to be republished upon receipt of that report

For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

(54) Title: STRATOSPHERIC PLATFORMS BASED MOBILE COMMUNICATIONS ARCHITECTURE



A communication (57) Abstract: system including a first transceiver located on a first platform at a predetermined altitude. A first antenna is located on the first platform and connected to the first transceiver. second antenna is connected to the other end of the first transceiver. A second transceiver is located on a ground hub physically and independent of the first platform. A third antenna is located on the ground hub and connected to the second transceiver. The third antenna is adapted to communicate with the second antenna. The first platform is maintained in a stratospheric orbit. A beamforming system is connected to the second transceiver and mounted on the ground hub. The beamforming system provides a beamformed signal from the second transceiver to the first transceiver effective to drive the first array antenna

to radiate multiple beams to a surface, whereby the multiple beams create time varying and dissimilar footprints thereon. A second antenna is mounted on the first platform to receive the beamformed signal from the ground hub. The beamforming system is adapted to drive the first antenna to generate plural beams on the earth's surface, each beam providing a respective footprint or cell. Each beam tracks a respective user located at a center of each cell. The system allows for narrow beams to be created which, in turn, enables frequency reuse. A code is assigned to each beam and a mechanism is provided for preventing a user from receiving more than one beam with a given code. This mechanism is adapted to anticipate a condition by which a user will move to a location at which the user would receive more than one beam with a given code. The mechanism will quickly assign a second code to at least one beam prior to the arrival of the user at that position.

VO 01/95523

WO 01/95523 PCT/US01/17892

STRATOSPHERIC PLATFORMS BASED MOBILE COMMUNICATIONS ARCHITECTURE

5

BACKGROUND OF THE INVENTION

Field of the Invention:

10

15

20

25

30

The present invention relates to communications systems. More specifically, the present invention relates to architectures for multimedia including data/voice services to mobile users using stratospheric platforms.

Description of the Related Art:

Stratospheric platforms are being considered for multimedia including data/voice communication applications. Current proposals envision a mounting of transceivers and antennas on aircraft flying at 20 - 30 kilometers above the earth which will project beams to cell sites located on the ground.

Conventionally, cells projected on the ground are uniform in size. If the user distribution is uniform, the equal sized cell structure is optimal. However, equal cell size comes at some cost in hardware. To avoid mechanical tracking systems on the antennas, which can be costly and unreliable, the antennas are typically implemented as phased arrays of radiating elements and steered electronically. At the altitude above the ground, where the payload carrying platform is located, a same-sized ground projection of cells requires smaller angular beams as the scan angle increases. To form smaller beams, more antenna array elements are needed. For a light-weight payload, the number of elements may be limited, thus forming smaller beams at the edge of the coverage may be costly.

Further, prior stratospheric based platform proposals envision a fixed cell structure by which the beams stare at all cells in the entire coverage area, similar to a cellular system. If resources are available, this approach is viable. In some systems, however, resources may be limited. For such systems, use of a fixed cell structure limits the coverage area. Consequently, total system capacity is reduced. That is, at any given time, the entire coverage area may not be covered by beams that provide, adequate link margin for billable data transmissions.

Hence, there is a need in the art for a stratospheric platform based communication system offering maximum throughput with the constraints of weight, power and spectrum. More specifically, there is a need in the art for a stratospheric platform based communication system and method for projecting beams of varying cell structure in both time and space to maximize system capacity within the weight, power and bandwidth constraints thereof and thereby to optimize the communications capacity for billable voice and data transmissions.

15

10

5

SUMMARY OF THE INVENTION

The need in the art is addressed by the communication system of the present invention. The inventive communication system includes a first transceiver located on a first platform at a predetermined altitude. A first antenna (array) at, say, S- band is located on the first platform and connected to the first transceiver. A second (high gain) antenna at, say, X or C-band is connected to the other end of the first transceiver. A second transceiver is located on a ground hub physically and is independent of the first platform. A third antenna at, say, X or C-band, is located on the ground hub and connected to the second transceiver. The third antenna is adapted to communicate with the first platform via a second (high gain) antenna at X or C-band on the first platform. A beamforming system is connected to the second transceiver and mounted on the ground hub. The beamforming system provides beamformed signals from the second

WO 01/95523 PCT/US01/17892

transceiver to the first transceiver effective to drive the first antenna array to radiate multiple beams to a surface, whereby the multiple beams create time varying and dissimilar footprints thereon.

5

10

15

20

25

30

In the illustrative embodiment, the first platform is maintained in a stratospheric orbit, the second transponder is located on the ground hub. A second (high gain) antenna is mounted on the first platform to receive the beamformed signal from the ground hub in the forward link direction. The beamforming system on ground is adapted to drive the first array antenna on the first platform to generate plural beams on the earth's surface, each beam providing a respective footprint or cell. Similarly, the multiple user signals, arrived at the first antenna array in the return link direction, will be amplified, filtered, frequency translated, code multiplexed, amplified again, and then radiated through the second (high gain) antenna to the ground hub. The third antenna on the ground hub will receive the multiplexed element signals, which will then be amplified, filtered, down-converted, and demodulated to recover individual element level signals before digitization. The digitized element level signals will be pushed through the digital beam forming network, which separates user signals via their angle of arrivals (with respect to the first array antenna on the platform).

Each beam tracks a respective user located at a center of each cell. The system allows for narrow beams to be created which, in turn, enables frequency reuse. A code is assigned to each beam and a mechanism is provided for preventing a user from receiving more than one beam with a given code. This mechanism is adapted to anticipate a condition by which a user may move to a location at which the user would receive more than one beam with a given code. It will assign a second code to at least one beam prior to the arrival of the user at that position.

The present invention allows the cell size to be non-uniform. That is, near center of coverage, or nadir, the cell can be smaller. As the scan angle increases, the cell sizes increase. Cell size equalization may require additional apertures or much more array elements. It will drive the payload weight and cost significantly. The invention allows for a light-weight payload design and full utilization of the resources that a light-weight payload can offer.

The present invention forms beams where there are users present with beams of shapes and sizes that are not necessarily uniform in space and constant in time. One or more broad beams may be formed to provide links supporting lower data rates. These lower data rate links are used to support acquisition protocol for new users trying to get on the system. This allows the coverage area to be greater with limited receiving beams. In addition, by allowing the beam size to be smaller near the center of coverage (nadir of the platform), the code or frequency reuse distance can be reduced, therefore enhancing the total system capacity.

10

5

BRIEF DESCRIPTION OF THE DRAWINGS

- Fig. 1 is a diagram illustrative of the stratospheric communication system of the present invention with a single stratospheric platform.
 - Fig. 2 is a simplified block diagram of the airborne platform based transceiver system implemented in accordance with the present teachings.
 - Fig. 3 is a simplified block diagram of a ground hub in accordance with the teachings of the present invention.
- Fig. 4 is a diagramwhich shows how nonuniform cells are created with a fixed platform antenna aperture.
 - Fig. 5 is a set of graphs showing spreading angle as a function of distance from the projected platform nadir location to a user.
- Fig. 6 is a block diagram of an illustrative implementation of a code assignment algorithm for use in connection with the communication system depicted in Fig. 1.
 - Fig. 7 is a diagram that illustrates color code assignments based on the number of users in accordance with the method of the present invention.
- Fig. 8 shows a color 1 code assignment (blue) in accordance with the teachings of the present invention.

WO 01/95523 PCT/US01/17892

Fig. 9 shows a color 2 code assignment (pink) in accordance with the teachings of the present invention.

- Fig. 10 shows a color 3 code assignment (orange) in accordance with the teachings of the present invention.
- Fig. 11 shows a color 4 code assignment (purple) in accordance with the teachings of the present invention.
 - Fig. 12 depicts an overall code assignment.
 - Fig. 13 is a diagram illustrating a distribution of users sharing a code division multiplexed (CDMA) code in accordance with the teachings of the present invention.

10

15

20

25

30

DESCRIPTION OF THE INVENTION

Illustrative embodiments and exemplary applications will now be described with reference to the accompanying drawings to disclose the advantageous teachings of the present invention.

While the present invention is described herein with reference to illustrative embodiments for particular applications, it should be understood that the invention is not limited thereto. Those having ordinary skill in the art and access to the teachings provided herein will recognize additional modifications, applications, and embodiments within the scope thereof and additional fields in which the present invention would be of significant utility.

Fig. 1 is a diagram illustrative of the stratospheric communication system of the present invention with a single stratospheric platform. The inventive system 10 includes a transceiver system 20 mounted on an airborne platform (not shown). In practice, the platform could be an airplane flying in a small tight circle (an orbit) at 20 - 30 kilometers (km) above the ground. Those skilled in the art will appreciate that this altitude is 2 to 3 times that of commercial aircraft (i.e., 10 km) and much lower than the altitude of a low earth orbit satellite (1000 km). The transceiver 20 is adapted to

10

15

20

25

30

communicate with a hub 30 and a plurality	of users 40 and 50 located on cells 60 and
70, respectively, on the earth's surface.	This system is described and claimed in
copending application entitled	, serial no
filed, by	, the teachings of which are incorporated
herein by reference.	

Fig. 2 is a simplified block diagram of the airborne platform based transceiver system implemented in accordance with the present teachings. The system 20 includes a feeder link antenna 22 adapted to receive signals from the hub system 30 and to transmit signals to the ground hub. The feeder link antenna can be at C, X or other suitable frequency band. The antenna 22 is connected to a bi-directional radio frequency (RF) electronics 24. In the forward link direction, it will provide amplification and frequency down-conversion functions. The RF electronics 24 outputs a signal at S-band which is demultiplexed by a code division demultiplexer 26 into plural separate signals of which 192 are shown in the figure. Each corresponds to an aggregated multi-user signals ready for an individual antenna array element. multiplexer / de-multiplexer 26 is bi-directional and serves to multiplex plural signals from various receiving elements of the array antenna into a single signal when the system 20 is operating as a receiver in the return link direction. Those skilled in the art will appreciate that the system shown in Fig. 2 is intended for illustration only. Accordingly, the present teachings are not limited to the number of channels or elements shown. Nor is the system limited to the specific circuit configuration shown. Other circuit configurations may be used without departing from the scope of the present teachings.

The demultiplexed signals feed RF up-converters and SSPA's 28. In the illustrative embodiment, the RF electronics 28 operates at S-band. The upconverters and SSPA's drive the array antenna 29 elements. The element phasing are accomplished by the digital-beam-forming network 38 in the ground hub 30 and are imbedded in the signals themselves. As discussed more fully below, the array antenna 29 transmits and receives multiple output beams. Each beam creates a footprint on the surface that provides a cell such as the cells shown at 60 and 70 in Fig. 1.

As discussed more fully below, the present invention allows the cell size to be non-uniform. That is, near center of coverage, or nadir, the cell will be smaller. As the scan angle increases, the cell sizes increase. There is no need for cell size equalization. As a result, this invention allows for a very light weight payload design and full utilization of the resources that a light-weight payload can offer. The present invention forms beams where there are users present with beams of shapes and sizes that are not necessarily uniform. In addition, by allowing the beam size to be smaller near the center of coverage (nadir of the platform), the code or frequency reuse distance can be reduced, therefore enhancing the total system capacity. One or more broad beams are formed to provide links supporting lower data rates. These lower data rate links with broad beam width are used for the acquisition processes of new users trying to get on the system.

5

10

15

20

25

30

Fig. 3 is a simplified block diagram of a hub in accordance with the teachings of the present invention. The hub transceiver system 30 includes an X or C-band antenna 32 adapted to communicate with the other X or C-band antenna 22 on the airborne platform. The ground antenna 32 connects to an RF subsystem 34 which provides amplification, filtering, upconversion and down-conversion functions in a conventional manner. In the return link direction, the subsystem 34 outputs a multiplexed signals which will be pushed through a code demultiplexer 36 to recover signals at array element level prier to a digital beam former 38, which will separate user signals via spatial discrimination techniques. The outputs are the individual signals, which will be further processed by multiplexers/demultiplexers, routers, and/or formatters 39. This post-processor in the return link direction is connected to an external network such as the Internet or World Wide Web.

The systems depicted in Figs. 2 and 3 may be implemented in accordance with the teachings of U. S. Patent No. 5,903,549, issued May 11, 1999 to Von Der Embse and entitled Ground Based Beam Forming Utilizing Synchronized CDMA, the teachings of which are hereby incorporated by reference herein. The number of beams (or simultaneous users) 'n' is scaleable at the gateway.

In the forward link direction, all the functions will be reversed. The post-processor will

10

15

20

25

30

become a pre-processor. The DBF will convert multiple user signals to an equivalent set of array element level signals. Each represent an aggregation of phase weighted signals from all the users. The element phasing information for the array antenna 29 is imbedded in the aggregated signals themselves in the DBF 38 through the combinations by which user signals are phase weighted. The X or C-band antenna 32 will transmit a multiplexed signals at array element level to the platform.

In accordance with the present teachings, the beam forming circuit 38 generates phasings, weightings and codes for each of a plurality of beams. These beams are multiplexed into a single stream which is transmitted up to the airborne platform 20 via the link provided by the feeders 22 and 32 of Figs. 2 and 3 respectively. When the stream is received on the airborne platform, it is demultiplexed into separate elements for the array antenna 29. The phasing and weighting of the signals provided by the beamforming circuit 38 is effective to generate the plural beams and steer each one to a desired direction. In the preferred embodiment, each beam is assigned to a user or a zone. If assigned to a user, the beam is adapted to move with the user to minimize the number of code handovers and to increase antenna directivity in user links. Static beams are formed where no user tracking beams are present for new user detection.

Conventionally, the beams radiated by the array antenna 29 of Fig. 2, would be constrained to provided fixed, uniform footprints or cells on the ground. If the user distribution is uniform, the equal sized cell structure is optimal. However, equal cell size comes at some cost in hardware. At a certain altitude from the ground, where a payload carrying platform locates, a same-sized ground projection cells require smaller angular beams as the scan angle increases. To form smaller beams, more antenna array elements or separated additional apertures will be needed. As a result, the payload may weigh more and consume more power. For a platform capable of carrying limited payload, forming smaller beams at the edge of the coverage may be costly and not practical.

To avoid the need for a mechanical tracking system on the antennas, which can be costly and unreliable, an array antenna with about 100 to 200 radiating elements is selected as the primary aperture on the platform. The radiators and the

WO 01/95523 PCT/US01/17892

amplifiers are on board but the beam steering mechanism is implemented on ground via a digital beam forming network. However, in accordance with the present teachings, the beams are formed without regard to a fixed uniform pattern by the digital beam forming network 38 on the ground hub. The system 10 is designed to cover a service area with as many users as possible. If the cell size is uniform on the ground, then the required number of elements in the phased array antenna would be so high that a light-weight payload would not be possible. On the other hand, some elements are not being utilized to form wider beams at the center of the coverage (or nadir of the platform), the resource (frequency or code) reuse distance would be longer. This would result in fewer users on the system and lower total system capacity.

5

10

15

20

25

Consequently, the system 10 of the present invention is designed with a dynamic cell structure utilizing all the resources available on a light-weight payload to form beams as small as possible and reuse the frequency or CDMA code as often as possible to enhance the total system capacity. That is, the system 10 allows the cell sizes to be non-uniform. At center of coverage, or nadir, the cell will be smaller. As the scan angle increases, so does the cell size. In addition, the cell shape is not restricted to be perfectly circular. The cell shape may be elongated as the scan angle increases. This is depicted in Fig. 4 below.

Fig. 4 is a diagram which shows how nonuniform cells are created with a fixed platform antenna aperture. The scenario illustrated in this figure assumes a nominally circular antenna array situated at 'A' and oriented normal to the local vertical. The antenna is at an altitude 'h' above the ground point 'O', which is at the center of the coverage area. Now consider the beam formed by this antenna having maximum directivity in the direction of a user located at point 'C'. Assuming that differential spreading of the antenna gain across the beam width can be neglected, the contour of constant antenna gain on the ground plane, denoted 'BDEF' in the figure, will be elliptical in form. Furthermore, the long-side spreading (\angle CAD and \angle CAB) will be equal, as will the short-side spreading angles (\angle CAE and \angle CAF). The distances CB, CD, CE, and CF can be easily calculated as

10

15

20.

25

$$\frac{\text{CB}}{h} = \tan\left(\angle \text{OAC} + \begin{bmatrix} \log - \text{side} \\ \text{spreading} - \text{angle} \end{bmatrix}\right) - \tan(\angle \text{OAC})$$

$$\frac{\text{CD}}{h} = \tan(\angle \text{OAC}) - \tan\left(\angle \text{OAC} - \begin{bmatrix} \log - \text{side} \\ \text{spreading} - \text{angle} \end{bmatrix}\right)$$

$$\frac{\text{CE}}{h} = \frac{\text{CF}}{h} = \frac{1}{\cos(\angle \text{OAC})} \tan\left(\begin{bmatrix} \text{short} - \text{side} \\ \text{spreading} - \text{angle} \end{bmatrix}\right)$$

Assuming the antenna aperture is constant, the spreading angles are then a function of the distance between a user and the projected platform location on the ground (OC). The larger the distance OC is, the larger the spreading angles are, as shown in Fig 5.

Fig. 5 is a set of graphs showing spreading angle as a function of distance from the projected platform location to a user of an elevated beam projection system. Note that the short-side spreading angle may be different from the long-side spreading angle.

In practice, optimal utilization of system resources calls for multiple (e.g. 200) beams to be generated. As mentioned above, in the preferred embodiment, each beam would track a user if a user were present. To maximize system capacity, the frequencies are reused by assigning codes to each beam.

In the illustrative implementation, a group of 64 codes is divided into 4 subgroups. Each subgroup of codes is referred to as one color of codes and has 16 individual codes. In the illustrative embodiment, there are four colors of codes. The assignment of one color of codes is independent of the other colors of codes. The same color of codes can be reused outside a criterion. In the illustrative embodiment, a 20 decibel (dB) sidelobe contour criterion is employed. Every user will have a surrounding exclusion region bounded by the –20dB countour. In accordance with this criterion, a beam collision will be detected when the user moves into the exclusion zone of another user and receives an interference signal from the second user therein at a level of 20 dB down from maximum or higher. The 20 dB sidelobe contours can be of different sizes and shapes throughout a coverage area.

10

15

20

25

30

Fig. 6 is a block diagram of an illustrative implementation of a code assignment algorithm as a part of resource management for use in connection with the communication system depicted in Fig. 1. Fig. 7 is a diagram that illustrates color code assignments based on the number of users in accordance with the method of the present invention. The method 100 includes the step of assigning codes with as many colors as possible (110). Next. the code colors are sorted with the number of users in descending order (step 120). Hence, as shown in Fig. 7, purple 122 might be used for 5 users, orange 124 might be used for 10 users, pink 126 might be used for 25 users and blue 128 might be used for 30 users.

Returning to Fig. 6, at step 130, if a new user enters a cell, the system checks to determine if the new user can be assigned to the first code color using the 20 dB sidelobe contour criterion. If not, at step 140, the system 10 tries the next color. At step 150, the system endeavors to find a code in the code color and at step 160, the code is assigned to the user.

Illustrative code assignments are shown in Figs. 8 - 13.

Fig. 8 shows a color 1 code assignment (blue) in accordance with the teachings of the present invention.

Fig. 9 shows a color 2 code assignment (pink) in accordance with the teachings of the present invention.

Fig. 10 shows a color 3 code assignment (orange) in accordance with the teachings of the present invention.

Fig. 11 shows a color 4 code assignment (purple) in accordance with the teachings of the present invention.

Fig. 12 depicts an overall code assignment. As shown in Fig. 8, each cell 70 is created by a beam directed to a respective user 50. Note that although the beams overlap, no two beams overlap a user. This is depicted in Fig. 13.

Fig. 13 is a diagram illustrating a distribution of users sharing a code division multiplexed (CDMA) code in accordance with the teachings of the present invention. At anytime, a user would not be located inside the contour of another user using the same code. In the Fig. 13, the stars are the users. The oval shaped contours are the

10

isolation forbidden zone. That is, any other user using the same code cannot locate inside the forbiden zone. As in the figure, for each oval contour, there is only one user locate in the center of the contour. Fig. 13 shows the code sharing to one of the CDMA codes. For each different code, a similar figure can be drawn.

Thus, the present invention has been described herein with reference to a particular embodiment for a particular application. The present teachings allow for a very light weight payload with full utilization of the resources that a light-weight payload can offer. Those having ordinary skill in the art and access to the present teachings will recognize additional modifications, applications and embodiments within the scope thereof.

It is therefore intended by the appended claims to cover any and all such applications, modifications and embodiments within the scope of the present invention.

Accordingly,

15 <u>WHAT IS CLAIMED IS:</u>

10

CLAIMS

- 1. A communication system comprising:
- a first transceiver located on a first platform at a predetermined altitude;
- a first antenna located on said first platform and connected to said first transceiver;
- a second transceiver located on a second platform physically independent of said first platform:
 - a second antenna located on said second platform, said second antenna being adapted to communicate with said first antenna and being connected to said second transciever; and
 - beamforming means connected said second transceiver and mounted on said second platform for providing a beamforming signal from said second transceiver to said first transceiver effective to drive said first antenna to radiate first and second beams to a surface, whereby said first and said second beams create dissimilar footprints thereon.
 - 2. The invention of Claim 1 wherein said first platform is mobile.
 - 3. The invention of Claim 2 wherein said first platform is maintained in a predetermined orbit.
 - 4. The invention of Claim 3 wherein said orbit is in a stratosphere.
 - 5. The invention of Claim 1 further including a third antenna, said third antenna being mounted on said first platform.
 - 6. The invention of Claim 5 wherein said third antenna is adapted to receive said beamforming signal from said second antenna.

- 7. The invention of Claim 1 wherein said surface is the surface of the earth.
- 8. The invention of Claim 7 wherein said second platform is located on the earth.
- 9. The invention of Claim 1 wherein said beamforming means includes means for generating plural beams on said surface, each beam providing a respective footprint.
 - 10. The invention of Claim 9 wherein each footprint is a cell.
- 11. The invention of Claim 10 wherein each beam is directed to a respective user located at a center of each cell.
- 12. The invention of Claim 11 further including means for assigning a code to each beam.
- 13. The invention of Claim 12 further including means for scanning at least one of said beams to follow a movement of said user.
- 14. The invention of Claim 13 further including means for preventing a user from receiving more than one beam with a given code.
- 15. The invention of Claim 14 further including means for anticipating a condition by which a user will move to a location at which the user will receive more than one beam with a given code and assigning a second code to at least one beam prior to the arrival of said user at said position.
 - 16. A communication system comprising:
- a first transceiver located on a first platform, said first platform being maintained in a stratospheric orbit;

15

a first antenna located on said first platform and connected to said first transceiver: 5

a second antenna located on said first platform and connected to said transceiver:

a second transceiver located on the earth;

a third antenna being connected to said second transciever, said third antenna being adapted to communicate with said second antenna:

beamforming means connected said second transceiver for providing a beamforming signal from said second transceiver to said first transceiver effective to drive said first antenna to radiate plural beams to the surface of the earth, each beam providing a respective footprint, at least two of said beams creating dissimilar footprints thereon, each footprint providing a cell, and each beam being directed to a respective user located at a center of each cell, said beamforming means further including means for scanning at least one of said beams to follow a movement of said user;

means for assigning a code to each beam; and

means for preventing a user from receiving more than one beam with a given ..20 code.

- 17. The invention of Claim 16 wherein said means for preventing further including means for anticipating a condition by which a user will move to a location at which the user will receive more than one beam with a given code and assigning a second code to at least one beam prior to the arrival of said user at said position.
 - 18. A method for communicating including the steps of: providing a first transceiver on a first platform at a predetermined altitude; connecting a first antenna to said first transceiver:

providing a second transceiver on a second platform physically independent of 5 said first platform:

connecting a second antenna to said second transciever, said second antenna being adapted to communicate with said first antenna; and

providing a beamforming signal from said second transceiver to said first transceiver effective to drive said first antenna to radiate first and second beams to a surface, whereby said first and said second beams create dissimilar footprints thereon.

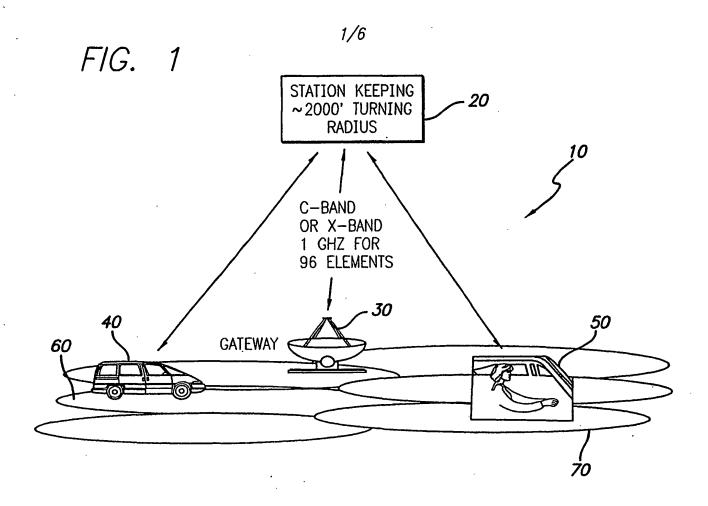
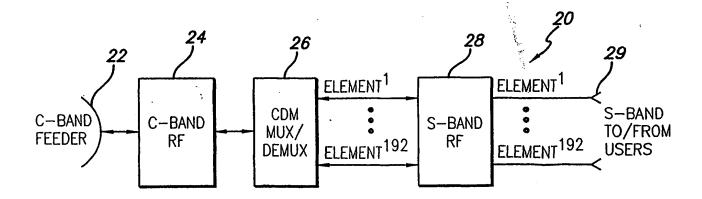
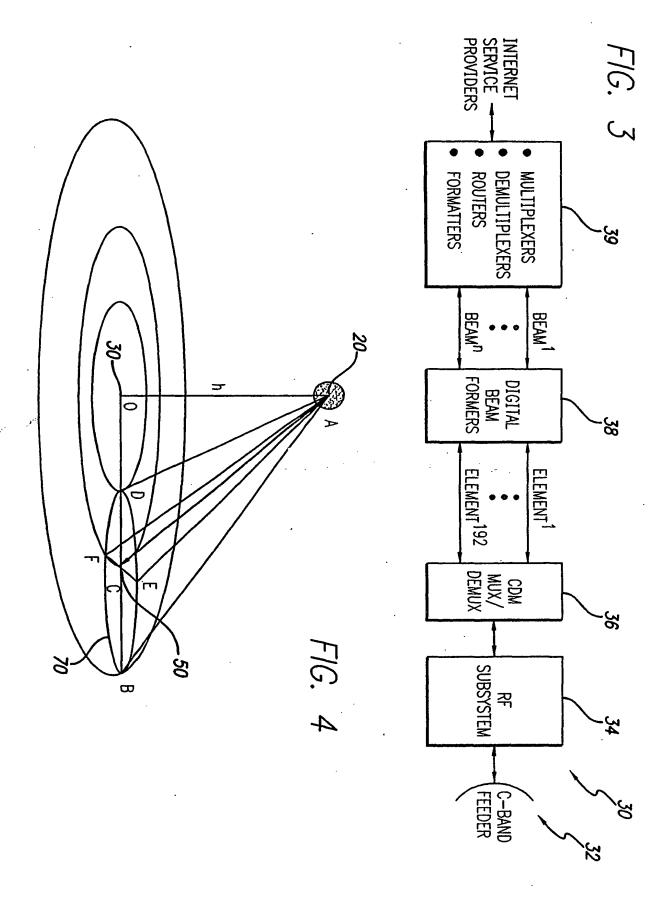


FIG. 2





3/6

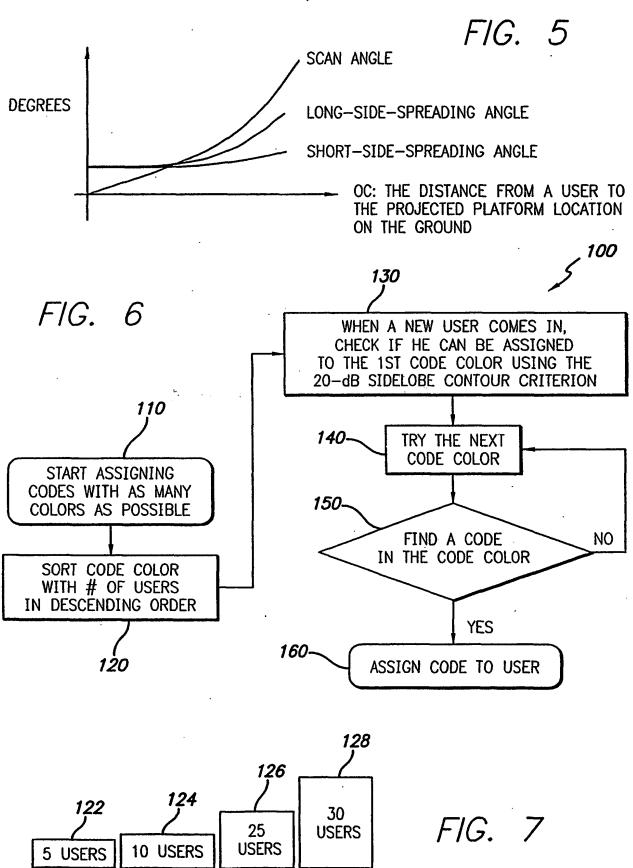
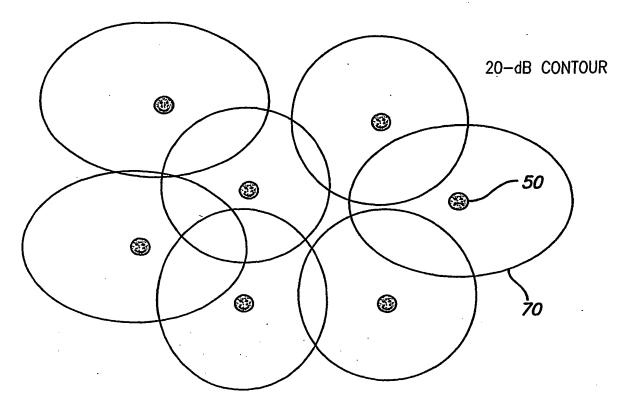
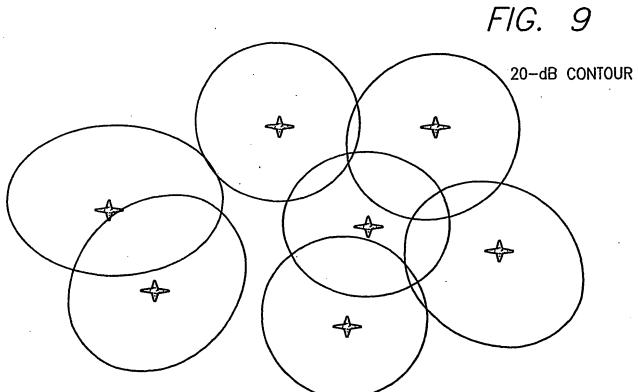


FIG. 8





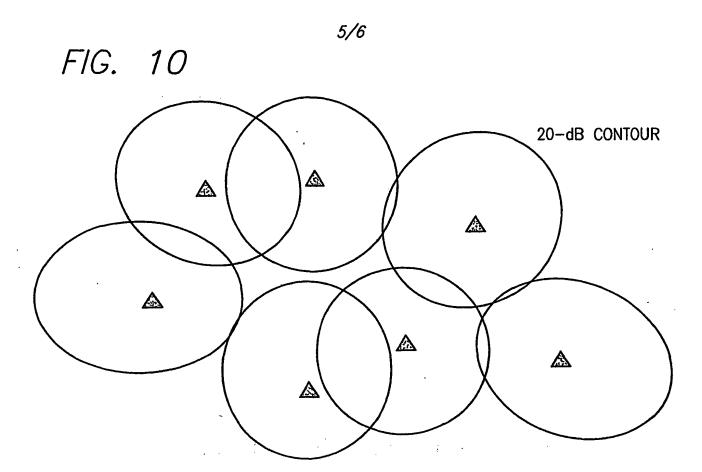
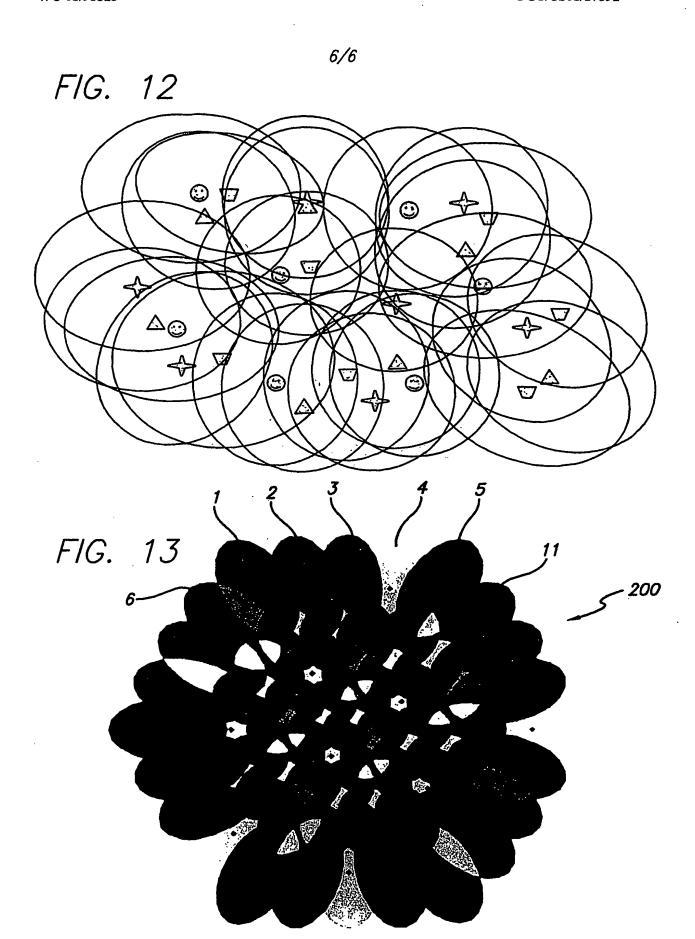


FIG. 11
20-dB CONTOUR
USER
BEAM



(12) INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION THE #TY (3C 2002

(19) World Intellectual Property Organization International Bureau



ì

(43) International Publication Date 13 December 2001 (13.12.2001)

PCT

(10) International Publication Number WO 01/95523 A3

(51) International Patent Classification?: H04B 7/185.

(21) International Application Number: PCT/US01/17892

(22) International Filing Date: | | June 2001 (01.06.2001)

(25) Filing Language: English

(26) Publication Language: English

(30) Priority Data: 09/588.395 6 June 2000 (06.06.2000) US

(71) Applicant: HUGHES ELECTRONICS CORPORA-TION [US/US]: 200 North Sepulveda Boulevard, El Segundo, CA 90245 (US).

(72) Inventors: CHANG, Donald, C., D.: 2350 Moberly Court, Thousand Oaks, CA 91360 (US). CHANG, Ming, U.: 28815 Indian Valley Road, Rancho Palos Verdes, CA 90275 (US). FERIA, Ying: 306 Anderson Street, Manhattan Beach, CA 90266 (US). WANG, Weizheng:

27113 Indian Peak Road, Rancho Palos Verdes, CA 90275 (US). CHA, Alan; 2214 Lenore Drive, Glendale, CA 91206 (US). YUNG, Kar: 4738 Narrot Street, Torrance, CA 90503 (US). HAGEN, Frank, A.: 2309 Via Rivera, Palos Verdes Estates, CA 90274 (US).

(74) Agents: DURAISWAMY, Vijayalakshmi, D. et al.; Hughes Electronics Corporation, Bldg. (001, MS A109, P.O. Box 956, El Segundo, CA 90245 (US).

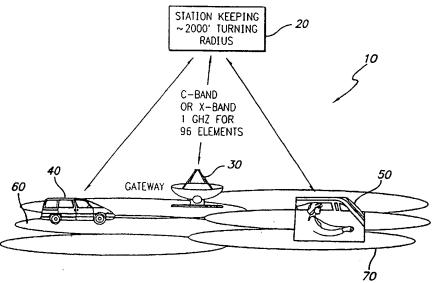
(84) Designated States (regional): European patent (AT. BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE, TR).

Published:

- with international search report
- before the expiration of the time limit for amending the claims and to be republished in the event of receipt of amendments
- (88) Date of publication of the international search report: 16 May 2002

[Continued on next page]

(54) Title: STRATOSPHERIC PLATFORMS BASED MOBILE COMMUNICATIONS ARCHITECTURE



(57) Abstract: A communication system including a first transceiver located on a first platform at a predetermined altitude. A first antenna is located on the first platform and connected to the first transceiver. A second antenna is connected to the other end of the first transceiver. A second transceiver is located on a ground hub physically and independent of the first platform. A third antenna is located on the ground hub and connected to the second transceiver. The third antenna is adapted to communicate with the second antenna. The first platform is maintained in a stratospheric orbit. A beamforming system is connected to the second transceiver and mounted on the ground hub. The beamforming system provides a beamformed signal

from the second transceiver to the first transceiver effective to drive the first array antenna to radiate multiple beams to a surface, whereby the multiple beams create time varying and dissimilar footprints thereon. A second antenna is mounted on the first platform to receive the beamformed signal from the ground hub. The beamforming system is adapted to drive the first antenna to generate plural beams on the earth's surface, each beam providing a respective footprint or cell. Each beam tracks a respective user located at a center of each cell. The system allows for narrow beams to be created which, in turn, enables frequency reuse. A code is assigned to each beam and a mechanism is provided for preventing a user from receiving more than one beam with a given code. This mechanism is adapted to anticipate a condition by which a user will move to a location at which the user would receive more than one beam with a given code. The mechanism will quickly assign a second code to at least one beam prior to the arrival of the user at that position.

0.01/95523

A REGIST BANKARA NA BARAN BERGA NEKA KAR KAR BERGA BANGA BANGA MARBANAN MARAN NA MARAN NA KARAN MARAN NA MARAN

For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

IN RNATIONAL SEARCH REPORT

Intel .onal Application No PCT/US 01/17892

A. CLASSIFICATION OF SUBJECT MATTER IPC 7 H04B7/185 H04B7/204

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

 $\begin{array}{ll} \mbox{Minimum documentation searched (classification system followed by classification symbols)} \\ IPC 7 & H04B & H04Q \end{array}$

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

EPO-Internal, WPI Data, PAJ, INSPEC

C. DOCUMENTS CONSIDERED TO BE RELEVANT							
Category °	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.					
X	US 5 589 834 A (WEINBERG AARON) 31 December 1996 (1996-12-31) abstract column 2, line 19-32 column 3, line 39 -column 4, line 13 column 4, line 36-44 column 8, line 11-22 column 9, line 30-51 claims	1-12,18					
A	EP 0 860 952 A (HUGHES ELECTRONICS CORP) 26 August 1998 (1998-08-26) cited in the application abstract page 2, line 23 -page 3, line 19 page 3, line 48 -page 4, column 1 figure 1 claims	1-18					
	-/						

Further documents are listed in the continuation of box C.	Patent family members are listed in annex.		
Special categories of cited documents :	"T" later document published after the international filing date or priority date and not in conflict with the application but		
'A' document defining the general state of the art which is not considered to be of particular relevance	cited to understand the principle or theory underlying the invention		
"E" earlier document but published on or after the international filing date "L" document which may throw doubts on priority claim(s) or	"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone		
which is cited to establish the publication date of another citation or other special reason (as specified)	"Y* document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the		
O document referring to an oral disclosure, use, exhibition or other means	document is combined with one or more other such docu- ments, such combination being obvious to a person skilled in the art.		
'P' document published prior to the international filing date but later than the priority date claimed	*&* document member of the same patent family		
Date of the actual completion of the international search	Date of mailing of the international search report		
14 March 2002	21/03/2002		
Name and mailing address of the ISA European Patent Office, P.B. 5818 Patentiaan 2	Authorized officer		
NL - 2280 HV Rijswijk Tel. (+31-70) 340-2040, Tx. 31 651 epo nl. Fax: (+31-70) 340-3016	Dejonghe, 0		

Form PCT/ISA/210 (second sheet) (July 1992)

1

N' RNATIONAL SEARCH REPORT

Inten. Snal Application No PCT/US 01/17892

(Continua	tion) DOCUMENTS CONSIDERED TO BE RELEVANT	PCT/US 01/17892
Category °	Citation of document, with indication where appropriate, of the relevant passages	Relevant to claim No.
A	US 5 612 701 A (DIEKELMAN DENNIS P) 18 March 1997 (1997-03-18) abstract column 2, line 62-67 column 4, line 6 -column 5, line 29 claims	1,13-18
A	WO 97 07609 A (GOVER AVI ;KASTNER RAPHAEL (IL); UNIV RAMOT (IL)) 27 February 1997 (1997-02-27) the whole document	1,2,16
A	US 6 020 845 A (WEINBERG AARON ET AL) 1 February 2000 (2000-02-01) the whole document	1-18

N RNATIONAL SEARCH REPORT

Information on patent family members

Intc. Ational Application No
PCT/US 01/17892

Patent document cited in search report		Publication date		Patent family member(s)	Publication date
US 5589834 '	A	31-12-1996	AU AU BR CA EP FI JP WO	688501 B2 2426695 A 9507485 A 2188254 A1 0756787 A1 964226 A 10502223 T 9529538 A1	12-03-1998 16-11-1995 12-08-1997 02-11-1995 05-02-1997 20-12-1996 24-02-1998 02-11-1995
EP 0860952	Α	26-08-1998	US EP	5903549 A 0860952 A2	11-05-1999 26-08-1998
US 5612701	Α	18-03-1997	FR GB IT TW	2738980 A1 2305578 A ,B RM960611 A1 417365 B	21-03-1997 09-04-1997 09-03-1998 01-01-2001
W0 9707609	Α	27-02-1997	AU WO	6709396 A 9707609 A2	12-03-1997 27-02-1997
US 6020845	Α	01-02-2000	US AU WO AU WO	5572216 A 5406398 A 9820618 A2 1289395 A 9514335 A1	05-11-1996 29-05-1998 14-05-1998 06-06-1995 26-05-1995